PATENT COOPERATION TREATY

PCT

REC'D 1 4 DEC 2005

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

International application No. PCT/GB2004/003304 International filing date (day/month/year) O2.08.2004 International Patent Classification (IPC) or national classification and IPC B23G7/02 Applicant ADCOCK TECHNOLOGY LIMITED et al. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36. This REPORT consists of a total of 5 sheets, including this cover sheet. This report is also accompanied by ANNEXES, comprising: a. Sent to the applicant and to the International Bureau) a total of 3 sheets, as follows: sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative instructions). sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)). Containing cont	Applicant's or agent's file refere	FOR FURTHER AC	CTION See Form PCT/IPEA/416							
PCT/GB2004/003304 O2.08.2004 O4.08.2003	AWP63032WO00		See FORM FORMERA416							
Applicant ADCOCK TECHNOLOGY LIMITED et al. 1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36. 2. This REPORT consists of a total of 5 sheets, including this cover sheet. 3. This report is also accompanied by ANNEXES, comprising: a. ☑ sent to the applicant and to the International Bureau) a total of 3 sheets, as follows: ☑ sheets of the description, claims and/or drawings which have been amended and are the basis of this reporandor sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). ☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in time 4 of Box No. I and the Supplemental Box. b. ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). 4. This report contains indications relating to the following items: ☐ Box No. II Priority ☐ Box No. III Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability applicability; citations and explanations supporting such statement ☐ Box No. VI Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain defects in the international application ☐ Box No. VIII Certain observations on the international application			day/month/year)							
1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36. 2. This REPORT consists of a total of 5 sheets, including this cover sheet. 3. This report is also accompanied by ANNEXES, comprising: a. a. sent to the applicant and to the International Bureauy a total of 3 sheets, as follows: sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). 4. This report contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. VI Certain defects in the International application Box No. VII Certain observations on the International application	International Patent Classification (IPC) or national classification and IPC									
Authority under Article 35 and transmitted to the applicant according to Article 36. 2. This REPORT consists of a total of 5 sheets, including this cover sheet. 3. This report Is also accompanied by ANNEXES, comprising: a. Sent to the applicant and to the International Bureau) a total of 3 sheets, as follows: Selects of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). I sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). 4. This report contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Box No. II Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. V Lack of unity of invention Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. VII Certain defects in the International application Box No. VII Certain observations on the International application	''									
3. This report is also accompanied by ANNEXES, comprising: a. ⋈ sent to the applicant and to the International Bureau) a total of 3 sheets, as follows: ⋈ sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). □ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. b. □ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). 4. This report contains indications relating to the following items: ⋈ Box No. I Basis of the opinion □ Box No. II Priority □ Box No. II Non-establishment of opinion with regard to novelty, inventive step and industrial applicability □ Box No. V Lack of unity of invention ⋈ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement □ Box No. VI Certain defects in the international application □ Box No. VII Certain observations on the international application	This report is the inter Authority under Article	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.								
a. Sent to the applicant and to the International Bureau) a total of 3 sheets, as follows: Sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. Sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). This report contains indications relating to the following items:	2. This REPORT consist									
sheets of the description, claims and/or drawings which have been amended and are the basis of this repo and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). This report contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Box No. II Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application	3. This report is also acc	ompanied by ANNEXES, comprisin	g:	,						
and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. Sent to the International Bureau only a total of (indicate type and number of electronic carrier(s)) , containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). A. This report contains indications relating to the following items:	a. 🛭 sent to the app	licant and to the International Bure	au) a total of 3 sheets,	as follows:						
beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). 4. This report contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the International application Certain observations on the international application	and/or she	sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the								
sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplementa Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). 4. This report contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Certain observations on the international application	beyond the	sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the								
 Box No. I Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application 	sequence listin	b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental								
 Box No. I Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application 	4. This report contains in	. This report contains indications relating to the following items:								
□ Box No. II Priority □ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability □ Box No. IV Lack of unity of invention □ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement □ Box No. VI Certain documents cited □ Box No. VII Certain defects in the International application □ Box No. VIII Certain observations on the International application										
□ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability □ Box No. IV Lack of unity of invention □ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement □ Box No. VI Certain documents cited □ Box No. VII Certain defects in the International application □ Box No. VIII Certain observations on the International application		•								
□ Box No. IV Lack of unity of invention □ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement □ Box No. VI Certain documents cited □ Box No. VII Certain defects in the International application □ Box No. VIII Certain observations on the International application		•	rd to novelty, inventive step and industrial applicability							
 Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement □ Box No. VI Certain documents cited □ Box No. VII Certain defects in the International application □ Box No. VIII Certain observations on the International application 	_		a to the voicy, inventive clop and industrial applicability							
☐ Box No. VII Certain defects in the International application ☐ Box No. VIII Certain observations on the International application		Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial								
☐ Box No. VIII Certain observations on the international application										
	I									
Date of submission of the demand Date of completion of this report	☐ Box No. VIII Certain observations on the International application									
	Date of submission of the dem	and	Date of completion of this	report						
06.06.2005	06.06.2005		15.12.2005							
Name and mailing address of the international Authorized Officer			Authorized Officer	as Pib-						
European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswljk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016 Breare, D Telephone No. +31 70 340-2168	NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl		1	40-2168						

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/003304

	Box	No. I	Basis of the report					
1.	With filed	th regard to the language , this report is based on the international application in the language in which it was d, unless otherwise indicated under this item.						
		which i⊓ inte	port is based on transist the language of a transister of a transister of the international preliminary	ranslation furnish ler Rules 12.3 an tional application	ed for the purp d 23.1(b)) (under Rule 1	ooses of: 2.4)	ing language ,	
2.	have	e been	d to the elements* of furnished to the rece originally filed" and ar	iving Office in res	sponse to an in	is report is based ovitation under Arti	on <i>(replacemen</i> icle 14 are referi	t sheets which red to in this
	Des	cription	, Pages					
	1-6			as originally filed				
	Clai	Claims, Numbers						
	1-17		filed with telefax	on 06.06.2005		,		
	Dra	Drawings, Sheets						
	1/2, 2/2		as originally filed				;	
		a sequ	uence listing and/or a	ny related table(s	s) - see Supple	mental Box Relati	ng to Sequence	Listing
3.		☐ the ☐ the ☐ the ☐ the	mendments have resisted expended in the control of	s ecify):				
4.		i not be opleme the the the the the the the the the th	eport has been estable and made, since they ntal Box (Rule 70.2(control description, pages of claims, Nos. of drawings, sheets/fight as sequence listing (spay table(s) related to sequence to sequenc	have been consi)). s e <i>ecify)</i> : equence listing <i>(</i>	dered to go be	yond the disclosu	re as filed, as in	dicated in the
	*	If it	tem 4 applies, s	ome or all o	f these she	ets may be ma	rked "supers	seded."

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/003304

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-17

No: Claims

Inventive step (IS)

Yes: Claims

1-17

No: Claims

Industrial applicability (IA)

Yes: Claims

1-17

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

 χ'_{\perp}

- 1.1 Reference is made to the following document:D1: GB2324752, Richard Lloyd Ltd, 4 November 1998.
- 2.1 The document D1 is regarded as being the closest prior art to the subject-matter of claim 1, and shows (the references in parentheses applying to this document):

A tap adapted for formation of female screw-threads in a plurality of metal parts, each female screw-thread being capable of imparting translational motion to a threaded second member engaged therewith, the threaded second member having a matching male screw-thread and the translational motion occurring on relative rotation between the first metal part and the threaded second member, the tap being fluteless with a triangular form thread.

- 2.2 The subject-matter of claim 1 differs from this known tap in that the angle of thread is in the range 29° to 40° and that the crests are radiussed.
- 2.3 The subject-matter of claim 1 is therefore new (Article 33(2) PCT).
- 2.4 The problem to be solved by the present invention may be regarded as providing a fluteless tap for tapping female threads that are optimised for providing translational movement.
- 2.5 The solution to this problem proposed in claim 1 of the present application is considered as involving an inventive step (Article 33(3) PCT) for the following reasons:

Although threads with the angle of thread in the range 29° to 40° are known in the art for providing translational movement (acme threads generally have an angle of thread of 29° to 30°), these threads are trapezoidal in nature. Triangular threads (which may also be used to convert rotational movement into translational movement, albeit with low efficiency) generally have an angle of thread in the range of 47° (BA series) to 60° (ISO unified and metric thread systems). A triangular female thread with triangular thread form having an angle of thread of between 29° and 40° with radiussed roots (corresponding to the radiussed crests of the tap claimed in claim 1)

*INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/GB2004/003304

is neither known from, nor rendered obvious by, the available prior art for the purpose of converting rotary motion into translational motion.

- 2.6 Claims 2-14 are dependent on claim 1 and as such also meet the requirements of the PCT with respect to novelty and inventive step.
- 2.7 Independent claim 15 relates to a product formed using the tap of claim 1. Since a triangular female thread with triangular thread form having an angle of thread of between 29° and 40° with radiussed roots is neither known from, nor rendered obvious by, the available prior art, the subject matter of this claim is also novel and inventive.
- 2.8 Independent claims 16 and 17 both relate to methods of use of the new and inventive tap claimed in claim 1. The subject matter of these claims is therefore also considered as novel and inventive for the reasons stated in paragraph 2.5 above.

20

35

CLAIMS

- A tap (10) adapted for formation of female screwthreads in a plurality of metal parts, each female screwthread being capable of imparting translational motion to a threaded second member engaged therewith, the threaded second member having a matching male screw-thread and the translational motion occurring on relative rotation between the first metal part and the threaded second member, the tap (10) being fluteless and comprising a threaded portion (23) with a triangular form thread, characterised in that the triangular form thread has an angle of thread (α) in the range 29°-40° and radiussed crests (16).
 - 2. A tap (10) as claimed in claim 1 wherein the angle of thread (α) is 29°to 31°.
 - 3. A tap (10) as claimed in claim 2 wherein the angle of thread (α) is 30°.
- 4. A tap (10) as claimed in any one of the preceding claims wherein the tap (10) has a chamfered first end (12-15).
- A tap (10) as claimed in claim 4 wherein the chamfered front end extends over at least four turns (12-30 15) of the thread.
 - 6. A tap (10) as claimed in claims 4 or 5 wherein the chamfered front end (12-15) has a chamfer angle (β) in the range 5.5° to 6.5°.
 - 7. A tap (10) as claimed in any one of claims 1 to 6 which has at least two starts.

20

- 8. A tap (10) as claimed in any one of the preceding claims wherein the radiussed crests (16) have a radius of curvature in the range of 0.165 to 0.175 mm.
- 9. A tap (10) as claimed in any one of the preceding claims wherein the roots (17) of the threaded portion (23) of the tap (10) are radiussed.
- 10. A tap (10) as claimed in claim 9 when the radiussed roots (17) have a radius of curvature in the range 0.178 mm to 0.188 mm.
 - 11. A tap (10) as claimed in any one of the preceding claims wherein the thread has a pitch of 0.995 mm to 1.005 mm.
 - 12. A tap (10) as claimed in any one of the preceding claims comprising additionally lubrication grooves.
- 13. A tap (10) as claimed in any one of the preceding claims comprising a shank portion (24) extending rearwardly from the threaded portion (23) and a rearmost portion (25) with a plurality of flat surfaces to enable engagement of the tap by a chuck.
- 30 14. A tap (10) as claimed in claim 13 in which at least one of the flat surfaces is precision machined in order to precisely set a distance between the front of the tap (10) and at least one end of the flat surface.
- 35 15. A product having a tapped bore with a female screwthread formed using the tap (10) claimed in any one of the preceding claims.

10

15

20

- 16. A method of tapping a product in which a female screw thread is formed using a tap (10) as claimed in any one of claims 1 to 14.
 - 17. A method of manufacture and use of apparatus which has a first metal object with a female screw-thread and a second metal object with a matching male screw-thread, the method comprising the steps of:

forming in the first metal object a female screwthread using a tap (10) as claimed in any one of claims 1 to 14:

forming on at least a part of the second metal object a male screw-thread matching the female screw-thread of the first metal object;

engaging the male screw-thread of the second metal object with the female screw-thread of the first metal object; and

rotating one of the first and second metal objects relative to the other in order to occasion translational motion of the second metal object relative to the first metal object.

25 641614; AMP; CTF